Program Algebra with a Jump-Shift Instruction

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Abstract. We study sequential programs that are instruction sequences
with jump-shift instructions in the setting of PGA (ProGram Algebra).
Jump-shift instructions preceding a jump instruction increase the posi-
tion to jump to. The jump-shift instruction is not found in programming
practice. Its merit is that the expressive power of PGA extended with the
jump-shift instruction, is not reduced if the reach of jump instructions is
bounded. This is used to show that there exists a finite-state execution
mechanism that by making use of a counter can produce each finite-state
thread from some program that is a finite or periodic infinite sequence
of instructions from a finite set.

Keywords: program algebra, jump-shift instruction, thread algebra,
thread extraction, execution mechanism.


1 Introduction

In this paper, we study sequential programs that are instruction sequences with
jump-shift instructions. With that we carry on the line of research with which a
start was made in [2]. The object pursued with this line of research is the devel-
opment of a theoretical understanding of possible forms of sequential programs,
starting from the simplest form. The view is taken that sequential programs in
the simplest form are sequences of instructions. PGA, an algebra of programs
in which programs are looked upon as sequences of instructions, is taken for the
basis of the development aimed at. The work presented in this paper is part of an
investigation of the consequences of small differences in the choice of primitives
in the algebra of programs.

In the line of research carried on in this paper, the view is taken that the
behaviours of sequential programs under execution are threads as considered in

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basic thread algebra [2]. The experience gained so far leads us to believe that sequential programs are nothing but linear representations of threads.

If \( n \) jump-shift instructions precede a jump instruction, they increase the position to jump to by \( n \). This feature of the jump-shift instruction is called jump shifting. It is a programming feature that is not suggested by existing programming practice. Its merit is that the expressive power of PGA extended with the jump-shift instruction, unlike the expressive power of PGA, is not reduced if the reach of jump instructions is bounded. Therefore, we consider a study of programs that are instruction sequences with jump-shift instructions relevant to programming.

We believe that interaction with services provided by an execution environment is inherent in the behaviour of programs under execution. Intuitively, a counter service provides for jump shifting. In this paper, we define the meaning of programs with jump-shift instructions in two different ways. One way covers all programs with jump-shift instructions. The other way covers all programs with jump-shift instructions that contain no other jump instruction than the one whose effect in the absence of preceding jump-shift instructions is a jump to the position of the instruction itself. The latter way corresponds to a finite-state execution mechanism that by making use of a counter produces the behaviour of a program from that program.

A thread proceeds by doing steps in a sequential fashion. A thread may do certain steps only for the sake of getting reply values returned by some service and that way having itself affected by that service. The interaction between behaviours of programs under execution and a counter service referred to above is an interaction with that purpose. In [6], the use mechanism is introduced to allow for such a kind of interaction between threads and services. In this paper, we will use the use mechanism, which has been renamed to thread-service composition, to have behaviours of programs under execution affected by services.

A hierarchy of program notations rooted in PGA is introduced in [2]. Included in this hierarchy are very simple program notations which are close to existing assembly languages up to and including simple program notations that support structured programming by offering a rendering of conditional and loop constructs. However, although they are found in existing assembly programming practice, indirect jump instructions are not considered. In [5], several kinds of indirect jump instructions are considered, including a kind by which recursive method calls can easily be explained. Moreover, dynamic instruction instantiation, a useful programming feature that is not suggested by existing programming practice, is considered in [4].

This paper is organized as follows. First, we review basic thread algebra (Section 2). Next, we review PGA and extend it with the jump-shift instruction (Section 3). After that, we extend basic thread algebra with thread-service composition, introduce a state-based approach to describe services, and give a
state-based description of counter services (Section 4). Following this, we revisit the meaning of programs with jump-shift instructions and show that there exists a finite-state execution mechanism that by making use of a counter can produce each finite-state thread from a program that is a finite or periodic infinite sequence of instructions from a finite set (Section 5). Finally, we make some concluding remarks (Section 6).

2 Basic Thread Algebra

In this section, we review BTA (Basic Thread Algebra), a form of process algebra which is tailored to the description of the behaviour of deterministic sequential programs under execution. The behaviours concerned are called threads.

In BTA, it is assumed that there is a fixed but arbitrary finite set \( A \) of basic actions with \( \tau \not\in A \). We write \( A_{\tau} \) for \( A \cup \{\tau\} \). The members of \( A_{\tau} \) are referred to as actions.

The intuition is that each basic action performed by a thread is taken as a command to be processed by a service provided by the execution environment of the thread. The processing of a command may involve a change of state of the service concerned. At completion of the processing of the command, the service produces a reply value. This reply is either \( T \) or \( F \) and is returned to the thread concerned.

Although BTA is one-sorted, we make this sort explicit. The reason for this is that we will extend BTA with an additional sort in Section 4.

The algebraic theory BTA has one sort: the sort \( T \) of threads. To build terms of sort \( T \), BTA has the following constants and operators:

- the deadlock constant \( D : T \);
- the termination constant \( S : T \);
- for each \( a \in A_{\tau} \), the binary postconditional composition operator \( - \triangleleft a \triangleright - : T \times T \rightarrow T \).

Terms of sort \( T \) are built as usual (see e.g. [11, 12]). Throughout the paper, we assume that there are infinitely many variables of sort \( T \), including \( x, y, z \).

We use infix notation for postconditional composition. We introduce action prefixing as an abbreviation: \( a \circ p \), where \( p \) is a term of sort \( T \), abbreviates \( p \triangleleft a \triangleright p \).

Let \( p \) and \( q \) be closed terms of sort \( T \) and \( a \in A_{\tau} \). Then \( p \triangleleft a \triangleright q \) will perform action \( a \), and after that proceed as \( p \) if the processing of \( a \) leads to the reply \( T \) (called a positive reply), and proceed as \( q \) if the processing of \( a \) leads to the reply \( F \) (called a negative reply). The action \( \tau \) plays a special role. It is a concrete internal action: performing \( \tau \) will never lead to a state change and always lead to a positive reply, but notwithstanding all that its presence matters.

BTA has only one axiom. This axiom is given in Table 1. Using the abbreviation introduced above, axiom \( T1 \) can be written as follows: \( x \triangleleft \tau \triangleright y = \tau \circ x \).
Table 1. Axiom of BTA

<p>| | |</p>
<table>
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<tbody>
<tr>
<td>x ≤ \text{tau} \succ y = x ≤ \text{tau} \succ x</td>
<td>T1</td>
</tr>
</tbody>
</table>

Table 2. Axioms for guarded recursion

\[
\begin{align*}
\langle X | E \rangle &= \langle t_X | E \rangle & \text{if } X = t_X \in E & \text{RDP} \\
E \Rightarrow X &= \langle X | E \rangle & \text{if } X \in V(E) & \text{RSP}
\end{align*}
\]

Each closed BTA term of sort $T$ denotes a finite thread, i.e. a thread of which the length of the sequences of actions that it can perform is bounded. Guarded recursive specifications give rise to infinite threads.

A recursive specification over BTA is a set of recursion equations $\{ X = t_X \mid X \in V \}$ where $V$ is a set of variables of sort $T$ and each $t_X$ is a BTA term of sort $T$ that contains only variables from $V$. Let $E$ be a recursive specification over BTA. Then we write $V(E)$ for the set of all variables that occur on the left-hand side of an equation in $E$. A solution of a recursive specification $E$ is a set of threads (in some model of BTA) $\{ T_X \mid X \in V(E) \}$ such that the equations of $E$ hold if, for all $X \in V(E)$, $X$ stands for $T_X$.

Let $t$ be a BTA term of sort $T$ containing a variable $X$ of sort $T$. Then an occurrence of $X$ in $t$ is guarded if $t$ has a subterm of the form $t' \preceq a \succeq t''$ containing this occurrence of $X$. Let $E$ be a recursive specification over BTA. Then $E$ is a guarded recursive specification if, in each equation $X = t_X \in E$, all occurrences of variables in $t_X$ are guarded or $t_X$ can be rewritten to such a term using the equations in $E$ from left to right. We are only interested in models of BTA in which guarded recursive specifications have unique solutions, such as the projective limit model of BTA presented in [1]. A thread that is the solution of a finite guarded recursive specification over BTA is called a finite-state thread.

We extend BTA with guarded recursion by adding constants for solutions of guarded recursive specifications and axioms concerning these additional constants. For each guarded recursive specification $E$ and each $X \in V(E)$, we add a constant of sort $T$ standing for the unique solution of $E$ for $X$ to the constants of BTA. The constant standing for the unique solution of $E$ for $X$ is denoted by $\langle X | E \rangle$. Moreover, we add the axioms for guarded recursion given in Table 2 to BTA. In this table, we write $\langle t_X | E \rangle$ for $t_X$ with, for all $Y \in V(E)$, all occurrences of $Y$ in $t_X$ replaced by $\langle Y | E \rangle$. $X$, $t_X$ and $E$ stand for an arbitrary variable of sort $T$, an arbitrary BTA term of sort $T$ and an arbitrary guarded recursive specification over BTA, respectively. Side conditions are added to restrict the variables, terms and guarded recursive specifications for which $X$, $t_X$ and $E$ stand. The equations $\langle X | E \rangle = \langle t_X | E \rangle$ for a fixed $E$ express that the constants $\langle X | E \rangle$ make up a solution of $E$. The conditional equations $E \Rightarrow X = \langle X | E \rangle$ express that this solution is the only one.

We will write BTA+REC for BTA extended with the constants for solutions of guarded recursive specifications and axioms RDP and RSP. We will often write $X$ for $\langle X | E \rangle$ if $E$ is clear from the context. It should be borne in mind that, in
such cases, we use $X$ as a constant. We will also use the following abbreviation: $a^X$, where $a \in \mathcal{A}_{\text{tau}}$, abbreviates $\langle X \mid \{ X = a \circ X \} \rangle$.

In [3], we show that the threads considered in BTA+REC can be viewed as processes that are definable over ACP [9].

Closed terms of sort $T$ from the language of BTA+REC that denote the same infinite thread cannot always be proved equal by means of the axioms of BTA+REC. We introduce the approximation induction principle to remedy this. The approximation induction principle, AIP in short, is based on the view that two threads are identical if their approximations up to any finite depth are identical. The approximation up to depth $n$ of a thread is obtained by cutting it off after performing a sequence of actions of length $n$.

AIP is the infinitary conditional equation given in Table 3. Here, following [2], approximation of depth $n$ is phrased in terms of a unary projection operator $\pi_n : T \rightarrow T$. The axioms for the projection operators are given in Table 4. In this table, $a$ stands for an arbitrary member of $\mathcal{A}_{\text{tau}}$.

We will write BTA+REC+AIP for BTA+REC extended with the projection operators and the axioms from Tables 3 and 4.

A linear recursive specification over BTA is a guarded recursive specification $E = \{ X = t_X \mid X \in V \}$, where each $t_X$ is a term of the form $D$, $S$ or $Y \preceq a \succeq Z$ with $Y, Z \in V$. For each closed term $p$ of sort $T$ from the language of BTA+REC, there exist a linear recursive specification $E$ and a variable $X \in V(E)$ such that $p = \langle X \mid E \rangle$ is derivable from the axioms of BTA+REC.

Below, the interpretations of the constants and operators of BTA+REC in models of BTA+REC are denoted by the constants and operators themselves. Let $\mathcal{A}$ be some model of BTA+REC, and let $p$ be an element from the domain of $\mathcal{A}$. Then the set of states or residual threads of $p$, written $\text{Res}(p)$, is inductively defined as follows:

- $p \in \text{Res}(p)$;
- if $q \preceq a \succeq r \in \text{Res}(p)$, then $q \in \text{Res}(p)$ and $r \in \text{Res}(p)$.

We are only interested in models of BTA+REC in which $\text{card}(\text{Res}(\langle X \mid E \rangle)) \leq \text{card}(E)$ for all finite linear recursive specifications $E$, such as the projective limit model of BTA presented in [1].
3 Program Algebra and the Jump-Shift Instruction

In this section, we first review PGA (ProGram Algebra) and then extend it with the jump-shift instruction, resulting in PGA\textsubscript{js}. PGA is an algebra of sequential programs based on the idea that sequential programs are in essence sequences of instructions. PGA provides a program notation for finite-state threads. The jump-shift instruction is not found in programming practice: if one or more jump-shift instructions precede a jump instruction, then each of those jump-shift instructions increases the position to jump to by one.

3.1 PGA

In PGA, it is assumed that there is a fixed but arbitrary finite set \(A\) of \textit{basic instructions}. PGA has the following \textit{primitive instructions}:

- for each \(a \in A\), a \textit{plain basic instruction} \(a\);
- for each \(a \in A\), a \textit{positive test instruction} \(+a\);
- for each \(a \in A\), a \textit{negative test instruction} \(-a\);
- for each \(l \in \mathbb{N}\), a \textit{forward jump instruction} \#\(l\);
- a \textit{termination instruction} !.

We write \(I_{\text{jmp}}\) for the set of all forward jump instructions and \(I_{\text{PGA}}\) for the set of all primitive instructions of PGA.

The intuition is that the execution of a basic instruction \(a\) may modify a state and produces \(T\) or \(F\) at its completion. In the case of a positive test instruction \(+a\), basic instruction \(a\) is executed and execution proceeds with the next primitive instruction if \(T\) is produced and otherwise the next primitive instruction is skipped and execution proceeds with the primitive instruction following the skipped one. In the case where \(T\) is produced and there is not at least one subsequent primitive instruction and in the case where \(F\) is produced and there are not at least two subsequent primitive instructions, deadlock occurs.

In the case of a negative test instruction \(-a\), the role of the value produced is reversed. In the case of a plain basic instruction \(a\), the value produced is disregarded: execution always proceeds as if \(T\) is produced. The effect of a forward jump instruction \#\(l\) is that execution proceeds with the \(l\)-th next instruction of the program concerned. If \(l\) equals 0 or the \(l\)-th next instruction does not exist, then \#\(l\) results in deadlock. The effect of the termination instruction ! is that execution terminates.

PGA has the following constants and operators:

- for each \(u \in I_{\text{PGA}}\), an \textit{instruction constant} \(u\);
- the binary \textit{concatenation} operator \(\cdot\);
- the unary \textit{repetition} operator \(\omega\).

Terms are built as usual. Throughout the paper, we assume that there are infinitely many variables, including \(x, y, z\).
Table 5. Axioms of PGA

\[
(x ; y) ; z = x ; (y ; z) \quad \text{PGA1} \\
(x^n)\omega = x\omega \quad \text{PGA2} \\
x\omega ; y = x\omega \quad \text{PGA3} \\
(x ; y)\omega = x ; (y ; x)\omega \quad \text{PGA4}
\]

Table 6. Defining equations for thread extraction operation

\[
\begin{align*}
|a| &= a \circ D \\
|a ; x| &= a \circ |x| \\
|+a| &= a \circ D \\
|+a ; x| &= |x| \leq a \geq |#2 ; x| \\
|-a| &= a \circ D \\
|-a ; x| &= |#2 ; x| \leq a \geq |x| \\
\end{align*}
\]

| #l = D \\
| #0 ; x = D \\
| #1 ; x = |x| \\
| #l + 2 ; u = D \\
| #l + 2 ; u ; x = |#l + 1 ; x| \\
| ! = S \\
| ! ; x = S |

Table 7. Rule for cyclic jump chains

\[
x \triangleq #0 ; y \Rightarrow |x| = D
\]

We use infix notation for concatenation and postfix notation for repetition. We also use the notation \(P^n\). For each PGA term \(P\) and \(n > 0\), the term \(P^n\) is defined by induction on \(n\) as follows: \(P^1 = P\) and \(P^{n+1} = P ; P^n\).

Closed PGA terms are considered to denote programs. The intuition is that a program is in essence a non-empty, finite or periodic infinite sequence of primitive instructions. These sequences are called single pass instruction sequences because PGA has been designed to enable single pass execution of instruction sequences: each instruction can be dropped after it has been executed. Programs are considered to be equal if they represent the same single pass instruction sequence. The axioms for instruction sequence equivalence are given in Table 5. In this table, \(n\) stands for an arbitrary natural number greater than 0. The unfolding equation \(x\omega = x ; x\omega\) is derivable. Each closed PGA term is derivably equal to a term in canonical form, i.e. a term of the form \(P\) or \(P ; Q\), where \(P\) and \(Q\) are closed PGA terms that do not contain the repetition operator.

Each closed PGA term is considered to denote a program of which the behaviour is a finite-state thread, taking the set \(A\) of basic instructions for the set \(A\) of actions. The thread extraction operation \(_\downarrow_\) assigns a thread to each program. The thread extraction operation is defined by the equations given in Table 6 (for \(a \in \mathfrak{A}, l \in \mathbb{N}\) and \(u \in \mathfrak{I}\)) and the rule given in Table 7. This rule is expressed

\[A\]
Table 8. Defining formulas for structural congruence predicate

<table>
<thead>
<tr>
<th>Formula</th>
<th>Description</th>
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<tbody>
<tr>
<td>( #n + 1; u_1; \ldots; u_n; #0 \equiv #0; u_1; \ldots; u_n; #0 )</td>
<td>( #0 )</td>
</tr>
<tr>
<td>( #n + 1; u_1; \ldots; u_n; #m \equiv #m + n + 1; u_1; \ldots; u_n; #m )</td>
<td>( #m )</td>
</tr>
<tr>
<td>((#n + l + 1; u_1; \ldots; u_n)^\omega \equiv (#l; u_1; \ldots; u_n)^\omega )</td>
<td>( #l )</td>
</tr>
<tr>
<td>( #m + n + l + 2; u_1; \ldots; u_n; (v_1; \ldots; v_m + 1)^\omega \equiv )</td>
<td>( #m + n + l + 2 )</td>
</tr>
<tr>
<td>( #n + l + 1; u_1; \ldots; u_n; (v_1; \ldots; v_m + 1)^\omega \equiv )</td>
<td>( #m + l + 1 )</td>
</tr>
<tr>
<td>( x \equiv x )</td>
<td>( #0 )</td>
</tr>
<tr>
<td>( x_1 \equiv y_1 \land x_2 \equiv y_2 \Rightarrow x_1; x_2 \equiv y_1; y_2 \land x_1^\omega \equiv y_1^\omega )</td>
<td>( \omega )</td>
</tr>
</tbody>
</table>

in terms of the \textit{structural congruence} predicate \( \_ \equiv \_ \), which is defined by the formulas given in Table 8 (for \( n, m, l \in \mathbb{N} \) and \( u_1, \ldots, u_n, v_1, \ldots, v_m + 1 \in \mathcal{I}_{\text{PGA}} \)).

The equations given in Table 6 do not cover the case where there is a cyclic chain of forward jumps. Programs are structural congruent if they are the same after removing all chains of forward jumps in favour of single jumps. Because a cyclic chain of forward jumps corresponds to \( \#0 \), the rule from Table 7 can be read as follows: if \( x \) starts with a cyclic chain of forward jumps, then \( |x| \) equals \( D \). It is easy to see that the thread extraction operation assigns the same thread to structurally congruent programs. Therefore, the rule from Table 7 can be replaced by the following generalization: \( x \equiv y \Rightarrow |x| = |y| \).

Let \( E \) be a finite guarded recursive specification over BTA, and let \( P_X \) be a closed PGA term for each \( X \in V(E) \). Let \( E' \) be the set of equations that results from replacing in \( E \) all occurrences of \( X \) by \( |P_X| \) for each \( X \in V(E) \). If \( E' \) can be obtained by applications of axioms PGA1–PGA4, the defining equations for the thread extraction operation and the rule for cyclic jump chains, then \( |P_X| \) is the solution of \( E \) for \( X \). Such a finite guarded recursive specification can always be found. Thus, the behaviour of each closed PGA term, is a thread that is definable by a finite guarded recursive specification over BTA. Moreover, each finite guarded recursive specification over BTA can be translated to a closed PGA term of which the behaviour is the solution of the finite guarded recursive specification concerned (cf. Section 4 of [10]).

Closed PGA terms are loosely called PGA \textit{programs}. PGA programs in which the repetition operator do not occur are called \textit{finite} PGA programs.

### 3.2 The Jump-Shift Instruction

We extend PGA with the jump-shift instruction, resulting in \( \text{PGA}_{js} \).

In \( \text{PGA}_{js} \), like in PGA, it is assumed that there is a fixed but arbitrary finite set \( \mathfrak{A} \) of \textit{basic instructions}. \( \text{PGA}_{js} \) has the \textit{primitive instructions} of PGA and in addition:

- a jump-shift instruction \( \#' \).

We write \( \mathcal{I}_{\text{PGA}_{js}} \) for the set of all primitive instructions of \( \text{PGA}_{js} \).
Table 9. Additional axioms for the jump-shift instruction

| #l | #l = #l + 1 JSI1 |
| #l; | #l; u = u JSI2 |
| #l; | #l; #0 JSI3 |

Table 10. Additional defining equation for thread extraction operation

|x| = |x; #0|

If one or more jump-shift instructions precede a jump instruction, then each of those jump-shift instructions increases the position to jump to by one. If one or more jump-shift instructions precede an instruction different from a jump instruction, then those jump-shift instructions have no effect.

PGA_{js} has the following constants and operators:
- for each \( u \in I_{PGA_{js}} \), an instruction constant \( u \);
- the binary concatenation operator \( ; \);
- the unary repetition operator \( \omega \).

The axioms of PGA_{js} are the axioms of PGA (Table 5) and in addition the axioms for the jump-shift instruction given in Table 9. In this table, \( u \) stands for an arbitrary primitive instruction from \( I_{PGA} \setminus I_{jmp} \).

The thread extraction operation of PGA_{js} is defined by the same equations and rule as the thread extraction operation of PGA (Tables 6 and 7), on the understanding that \( u \) still stands for an arbitrary primitive instruction from \( I_{PGA} \), and in addition the equation given in Table 10. The structural congruence predicate of PGA_{js} is defined by the same formulas as the structural congruence predicate of PGA (Table 8), on the understanding that \( u_1, \ldots, u_n, v_1, \ldots, v_{m+1} \) still stand for arbitrary primitive instructions from \( I_{PGA} \).

The additional defining equation \( |x| = |x; #0| \) for the thread extraction operation expresses that a missing termination instructions leads to deadlock. For all PGA programs \( P \), the equation \( |P| = |P; #0| \) is derivable from the axioms of PGA and the defining equations for the thread extraction operation of PGA. For all PGA_{js} programs \( P \), the equation \( |#l + 2; #l'| P| = |#l + 2; P| \) is derivable from the axioms of PGA_{js} and the defining equations of the thread extraction operation of PGA_{js}.

Obviously, the set of all PGA programs is a proper subset of the set of all PGA_{js} programs. Moreover, the thread extraction operation of PGA is the restriction of the thread extraction operation of PGA_{js} to the set of all PGA programs. Therefore, we do not distinguish the two thread extraction operations syntactically.

Below, we consider PGA_{js} programs that contain no other jump instruction than \#0. We will refer to these programs as PGA_{0js} programs.

An interesting point of PGA_{0js} programs is that they make use of a finite set of primitive instructions. It happens that, although PGA programs make
use of an infinite set of primitive instructions, PGA programs do not offer more expressive power than PGA\textsubscript{0js} programs.

**Theorem 1.** Each PGA program \( P \) can be transformed to a PGA\textsubscript{0js} program \( P' \) such that \(|P| = |P'||.

**Proof.** Let \( P \) be a PGA program, and let \( P' \) be \( P \) with, for all \( l > 0 \), all occurrences of \(#l\) in \( P \) replaced by \(#l; #0\). Clearly, \( P' \) is a PGA\textsubscript{0js} program. It is easily proved by induction on \( l \) that, for each \( l > 0 \), the equation \(#l = #l; #0\) is derivable from the axioms of PGA\textsubscript{js}. From this it follows immediately that the equation \( P = P' \) is derivable from the axioms of PGA\textsubscript{js}. Consequently, \(|P| = |P'||.

As a corollary of Theorem 1 and the expressiveness results for PGA in [10], we have that \(|_\cdot|\) can produce each finite-state thread from some PGA\textsubscript{0js} program.

**Corollary 1.** For each finite-state thread \( p \), there exists a PGA\textsubscript{0js} program \( P \) such that \(|P| = p|.

This means that each finite-state thread can be produced from a program that is a finite or periodic infinite sequence of instructions from a finite set.

### 3.3 On Single Pass Execution of Instruction Sequences

The primitive instructions of PGA have been designed to enable single pass execution of instruction sequences. Thread extraction defined in accordance with the idea of single pass execution of instruction sequences should ideally only involve equations of the form \(|u : x| = p\) where \(|x|\) is the only expression of the form \(|P|\) that may occur in \( p \). In this section, thread extraction has not been defined in accordance with the idea of single pass execution of instruction sequences. The equations \(|+ a : x| = |x| \sqsubseteq a \sqsupseteq |#2 : x|\), \(|- a : x| = |#2 : x| \sqsubseteq a \sqsupseteq |x|\), and \(|#l + 2 : u : x| = |#l + 1 : x|\) are not of the right form. In Section 5, we define an alternative thread extraction operation for PGA\textsubscript{0js} programs, which is better in accordance with the idea of single pass execution of instruction sequences. By that thread extraction operation, each PGA\textsubscript{0js} program is assigned a thread that becomes the behaviour that it exhibits on execution by interaction with a counter service. In Section 4, we introduce thread-service composition, which allows for the intended interaction.

### 4 Services and Interaction of Threads with Services

In this section, we first extend BTA with thread-service composition, next introduce a state-based approach to describe services, and then use this approach to give a description of counter services. In the current paper, we will only use thread-service composition to have program behaviours affected by some service.
4.1 Thread-Service Composition

A thread may perform certain actions only for the sake of getting reply values returned by services and that way having itself affected by services. We introduce thread-service composition to allow for threads to be affected in this way. Thread-service composition is introduced under the name use in [6].

It is assumed that there is a fixed but arbitrary finite set $\mathcal{F}$ of foci and a fixed but arbitrary finite set $\mathcal{M}$ of methods. Each focus plays the role of a name of a service provided by the execution environment that can be requested to process a command. Each method plays the role of a command proper. For the set $\mathcal{A}$ of actions, we take the set $\{f.m \mid f \in \mathcal{F}, m \in \mathcal{M}\}$. Performing an action $f.m$ is taken as making a request to the service named $f$ to process command $m$.

We introduce yet another sort: the sort $S$ of services. However, we will not introduce constants and operators to build terms of this sort. $S$ is considered to stand for the set of all services. We identify services with functions $H : \mathcal{M}^+ \rightarrow \{T, F, B\}$ that satisfy the following condition:

$$\forall \alpha \in \mathcal{M}^+, m \in \mathcal{M} \cdot (H(\alpha) = B \Rightarrow H(\alpha \cap \langle m \rangle) = B).$$

Given a service $H$ and a method $m \in \mathcal{M}$, the derived service of $H$ after processing $m$, written $\frac{\partial}{\partial m} H$, is defined by $\frac{\partial}{\partial m} H(\alpha) = H(\langle m \rangle \cap \alpha)$.

A service $H$ can be understood as follows:

- if $H(\langle m \rangle) = T$, then the request to process $m$ is accepted by the service, the reply is positive, and the service proceeds as $\frac{\partial}{\partial m} H$;
- if $H(\langle m \rangle) = F$, then the request to process $m$ is accepted by the service, the reply is negative, and the service proceeds as $\frac{\partial}{\partial m} H$;
- if $H(\langle m \rangle) = B$, then the request to process $m$ is rejected by the service.

For each $f \in \mathcal{F}$, we introduce the binary thread-service composition operator $\cdot/ f \cdot : T \times S \rightarrow T$. Intuitively, $p/ f H$ is the thread that results from processing all actions performed by thread $p$ that are of the form $f.m$ by service $H$. When an action of the form $f.m$ performed by thread $p$ is processed by service $H$, it is turned into $\tau$ and postconditional composition is removed in favour of action prefixing on the basis of the reply value produced.

The axioms for the thread-service composition operators are given in Table 11. In this table, $f$ and $g$ stand for an arbitrary foci from $\mathcal{F}$ and $m$ stands for an arbitrary method from $\mathcal{M}$. Axioms TSC3 and TSC4 express that the action $\tau$ and actions of the form $g.m$, where $f \neq g$, are not processed. Axioms TSC5 and TSC6 express that a thread is affected by a service as described above when an action of the form $f.m$ performed by the thread is processed by the service. Axiom TSC7 expresses that deadlock takes place when an action to be processed is not accepted.

Let $T$ stand for either BTA, BTA+REC or BTA+REC+AIP. Then we will write $T+TSC$ for $T$, taking the set $\{f.m \mid f \in \mathcal{F}, m \in \mathcal{M}\}$ for $\mathcal{A}$, extended with the thread-service composition operators and the axioms from Table 11.
Table 11. Axioms for thread-service composition

<table>
<thead>
<tr>
<th>Axiom</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>$S_0$</td>
<td>$H = S$ TSC1</td>
</tr>
<tr>
<td>$D /_f H = D$</td>
<td>TSC2</td>
</tr>
<tr>
<td>$\tau \circ x /_f H = \tau \circ (x /_f H)$</td>
<td>TSC3</td>
</tr>
<tr>
<td>$(x \leq g.m \geq y) /_f H = (x /_f H) \leq g.m \geq (y /_f H)$ if $f \neq g$</td>
<td>TSC4</td>
</tr>
<tr>
<td>$(x \leq f.m \geq y) /_f H = \tau \circ (x /_f \frac{\partial}{\partial m} H)$ if $H(\langle m \rangle) = T$ TSC5</td>
<td></td>
</tr>
<tr>
<td>$(x \leq f.m \geq y) /_f H = \tau \circ (y /_f \frac{\partial}{\partial m} H)$ if $H(\langle m \rangle) = F$ TSC6</td>
<td></td>
</tr>
<tr>
<td>$(x \leq f.m \geq y) /_f H = D$ if $H(\langle m \rangle) = B$ TSC7</td>
<td></td>
</tr>
</tbody>
</table>

Table 12. Axioms for abstraction

<table>
<thead>
<tr>
<th>Axiom</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\tau_{\text{tau}}(S) = S$</td>
<td>TT1</td>
</tr>
<tr>
<td>$\tau_{\text{tau}}(D) = D$</td>
<td>TT2</td>
</tr>
<tr>
<td>$\tau_{\text{tau}}(\tau \circ x) = \tau_{\text{tau}}(x)$</td>
<td>TT3</td>
</tr>
<tr>
<td>$\tau_{\text{tau}}(x \leq a \geq y) = \tau_{\text{tau}}(x) \leq a \geq \tau_{\text{tau}}(y)$</td>
<td>TT4</td>
</tr>
</tbody>
</table>

The action $\tau$ is an internal action whose presence matters. To conceal its presence in the case where it does not matter after all, we also introduce the unary abstraction operator $\tau_{\text{tau}} : T \rightarrow T$.

The axioms for the abstraction operator are given in Table 12. In this table, $a$ stands for an arbitrary basic action from $A$.

Abstraction can for instance be appropriate in the case where $\tau$ arises from turning actions of an auxiliary nature into $\tau$ on thread-service composition. Examples of this case will occur in Section 5. Unlike the use mechanism introduced in [6], the use mechanism introduced in [7] incorporates abstraction.

Let $T$ stand for either BTA, BTA+REC, BTA+REC+AIP, BTA+TSC, BTA+REC+TSC or BTA+REC+AIP+TSC. Then we will write $T + \text{ABSTR}$ for $T$ extended with the abstraction operator and the axioms from Table 12.

The equation $\tau_{\text{tau}}(\tau\alpha) = D$ is derivable from the axioms of BTA+REC+AIP+ABSTR.

### 4.2 State-Based Description of Services

We introduce a state-based approach to describe families of services which will be used in Section 4.3. The approach is similar to the approach to describe state machines introduced in [7].

In this approach, a family of services is described by

- a set of states $S$;
- an effect function $eff : \mathcal{M} \times S \rightarrow S$;
- a yield function $yld : \mathcal{M} \times S \rightarrow \{T, F, B\}$;
satisfying the following condition:
\[ \exists s \in S \cdot \forall m \in M \cdot (yld(m, s) = B \land \forall s' \in S \cdot (yld(m, s') = B \Rightarrow eff(m, s') = s)). \]

The set \( S \) contains the states in which the services may be, and the functions \( eff \) and \( yld \) give, for each method \( m \) and state \( s \), the state and reply, respectively, that result from processing \( m \) in state \( s \).

We define, for each \( s \in S \), a cumulative effect function \( ceff_s : M^* \rightarrow S \) in terms of \( s \) and \( eff \) as follows:
\[
\begin{align*}
  ceff_s(\epsilon) & = s, \\
  ceff_s(\alpha \cdot m) & = eff(m, ceff_s(\alpha)).
\end{align*}
\]

We define, for each \( s \in S \), a service \( H_s \) in terms of \( ceff_s \) and \( yld \) as follows:
\[ H_s(\alpha \cdot m) = yld(m, ceff_s(\alpha)). \]

\( H_s \) is called the service with initial state \( s \) described by \( S \), \( eff \) and \( yld \). We say that \( \{ H_s \mid s \in S \} \) is the family of services described by \( S \), \( eff \) and \( yld \).

The condition that is imposed on \( S \), \( eff \) and \( yld \) imply that, for each \( s \in S \), \( H_s \) is a service indeed. It is worth mentioning that \[ \frac{\partial}{\partial m} H_s = H_{eff(m, s)} \] and \[ H(\epsilon) = yld(m, s). \]

### 4.3 Counter Services

We give a state-based description of a very simple family of services that constitute a counter. This counter will be used in Section 5 to describe the behaviour of programs in PGA_s.

The counter services accept the following methods:

- a counter reset method \( \text{reset} \);
- a counter increment method \( \text{incr} \);
- a counter decrement method \( \text{decr} \);
- a counter is-zero method \( \text{iszero} \).

We write \( M_{\text{cnt}} \) for the set \( \{ \text{reset}, \text{incr}, \text{decr}, \text{iszero} \} \). It is assumed that \( M_{\text{cnt}} \subseteq M \).

The methods accepted by counter services can be explained as follows:

- \( \text{reset} \): the content of the counter is set to zero and the reply is \( T \);
- \( \text{incr} \): the content of the counter is incremented by one and the reply is \( T \);
- \( \text{decr} \): if the content of the counter is greater than zero, then the content of the counter is decremented by one and the reply is \( T \); otherwise, nothing changes and the reply is \( F \);
- \( \text{iszero} \): if the content of the counter equals zero, then nothing changes and the reply is \( T \); otherwise, nothing changes and the reply is \( F \).
Let \( s \in \mathbb{N} \). Then we write \( Cnt_s \) for the service with initial state \( s \) described by \( S = \mathbb{N} \cup \{1\} \), where \( \uparrow \notin \mathbb{N} \), and the functions \( \text{eff} \) and \( \text{yld} \) defined as follows \((k \in \mathbb{N})\):

\[
\begin{align*}
\text{eff}(\text{reset}, k) &= 0, & \text{yld}(\text{reset}, k) &= \top, \\
\text{eff}(\text{incr}, k) &= k + 1, & \text{yld}(\text{incr}, k) &= \top, \\
\text{eff}(\text{decr}, 0) &= 0, & \text{yld}(\text{decr}, 0) &= \bot, \\
\text{eff}(\text{decr}, k + 1) &= k, & \text{yld}(\text{decr}, k + 1) &= \top, \\
\text{eff}(\text{iszero}, k) &= k, & \text{yld}(\text{iszero}, 0) &= \top, \\
\text{eff}(m, k) &= \uparrow & \text{if } m \notin M_{\text{cnt}}, & \text{yld}(m, k) &= \bot & \text{if } m \notin M_{\text{cnt}} \\
\text{eff}(m, \uparrow) &= \uparrow & & \text{yld}(m, \uparrow) &= \bot.
\end{align*}
\]

We write \( Cnt_{\text{init}} \) for \( Cnt_0 \).

## 5 PGA\textsubscript{js}\textsuperscript{0} Programs Revisited

In this section, we define an alternative thread extraction operation for PGA\textsubscript{js}\textsuperscript{0} programs, which is in accordance with the idea of single pass execution of instruction sequences. By that thread extraction operation, each PGA\textsubscript{js}\textsuperscript{0} program is assigned a thread that becomes the behaviour that it exhibits on execution by interaction with a counter service. We also introduce a notion of an execution mechanism. The alternative thread extraction operation induces a finite-state execution mechanism that by making use of a counter can produce each finite-state thread from some PGA\textsubscript{js}\textsuperscript{0} program.

### 5.1 Alternative Semantics for PGA\textsubscript{js}\textsuperscript{0} Programs

When defining the alternative thread extraction operation for PGA\textsubscript{js}\textsuperscript{0} programs, it is assumed that there is a fixed but arbitrary finite set \( F \) of foci with \( \text{cnt} \in F \) and a fixed but arbitrary finite set \( M \) of methods. Besides, the set \( \{f, m \mid f \in F \setminus \{\text{cnt}\}, m \in M\} \) is taken as the set \( A \) of basic instructions. Thereby no real restriction is imposed on the set \( A \): in the case where the cardinality of \( F \) equals 2, all basic instructions have the same focus and the set \( M \) of methods can be looked upon as the set \( A \) of basic instructions.

The alternative thread extraction operation \( \triangleright \) for PGA\textsubscript{js}\textsuperscript{0} programs is defined by the equations given in Table 13 (for \( a \in A, l \in \mathbb{N}, u \in (\mathcal{I}_{\text{PGA}} \setminus \mathcal{I}_{\text{jmp}}) \cup \{\#0\} \)).

The thread assigned to a program by this thread extraction operation is not the behaviour that the program exhibits on execution. That behaviour arises from interaction of this thread with a counter service.

The following theorem states rigorously that, for any PGA\textsubscript{js}\textsuperscript{0} program, the behaviour under execution coincides with the alternative behaviour under execution on interaction with a counter when abstracted from \( \text{tau} \).
Theorem 2. For all PGA
\textsuperscript{\textit{s}}
\textit{0} programs \( P \), \( |P| = \tau\textsuperscript{\text{tau}}(|P'| / \text{cnt Cntinit}) \).

Proof. Strictly speaking, we prove this theorem in the algebraic theory obtained by: (i) combining PGA\textsuperscript{\textit{s}} with BTA+REC+AIP+TSC+ABSTR, resulting in a theory with three sorts: a sort \( P \) of programs, a sort \( T \) of threads, and a sort \( S \) of services; (ii) extending the result by taking \( \lfloor \cdot \rfloor \) and \( \lfloor \cdot \rfloor' \) for additional operators from sort \( P \) to sort \( T \) and taking the semantic equations and rules defining thread extraction and alternative thread extraction for additional axioms. We write \( \mathcal{P} \) for the set of all closed terms of sort \( P \) from the language of the resulting theory and \( \mathcal{T} \) for the set of all closed terms of sort \( T \) from the language of the resulting theory. Moreover, we write \( \mathcal{P}^0 \) for the set of all closed terms from \( \mathcal{P} \) that contain no other jump instructions than \#0.

Let
\[ T = \{ |P|, \#^i \} ; \#^i P \mid i \in \mathbb{N} \land P \in \mathcal{P} \} , \]
\[ T' = \{ \tau\textsuperscript{\text{tau}}(|P'| / \text{cnt Cntinit}), \tau\textsuperscript{\text{tau}}(|P'| / \text{cnt Cntinit}) \mid i \in \mathbb{N} \land P \in \mathcal{P} \} , \]
and let \( \beta : T \to T' \) be the bijection defined by
\[ \beta(|P|) = \tau\textsuperscript{\text{tau}}(|P'| / \text{cnt Cntinit}) , \]
\[ \beta(\#^i P) = \tau\textsuperscript{\text{tau}}(|P'| / \text{cnt Cntinit}) , \]
\[ \beta(\#^i P) = \tau\textsuperscript{\text{tau}}(|P'| / \text{cnt Cntinit}) . \]

For each \( p' \in T \), write \( \beta^*(p') \) for \( p' \) with, for all \( p \in T \), all occurrences of \( P \) in \( p' \) replaced by \( \beta(p) \). Then, it is straightforward to prove that there exists a set \( E \) consisting of one derivable equation \( p = p' \) for each \( p \in T \) such that, for all equations \( p = p' \) in \( E \):
- the equation \( \beta(p) = \beta^*(p') \) is also derivable;
- if \( p' \in T \), then \( p' \) can always be rewritten to a \( p'' \not\in T \) using the equations in \( E \) from left to right.

Because \( \beta(|P|) = \tau\textsuperscript{\text{tau}}(|P'| / \text{cnt Cntinit}) \), this means that, for all \( P \in \mathcal{P}^0 \), \( |P| \) and \( \tau\textsuperscript{\text{tau}}(|P'| / \text{cnt Cntinit}) \) are solutions of the same guarded recursive specification.
Because guarded recursive specifications have unique solutions, it follows immediately that, for all $P \in \mathcal{P}^0$, $|P| = \tau_{\text{tau}}(|P|'/\text{cnt} \ Cnt_{\text{init}})$. □

As a corollary of Theorem 2 and Corollary 1, we have that $|\cdot|$ by making use of a counter can produce each finite-state thread from some PGA$^0_{\text{fs}}$ program.

**Corollary 2.** For each finite-state thread $p$, there exists a PGA$^0_{\text{fs}}$ program $P$ such that $\tau_{\text{tau}}(|P|'/\text{cnt} \ Cnt_{\text{init}}) = p$.

### 5.2 On Finite-State Execution Mechanisms

Below, we introduce a notion of an execution mechanism. The intuition is that, for a function that assigns a finite-state behaviour to each member of some set of instruction sequences, an execution mechanism is a deterministic behaviour that can produce the behaviour assigned to each of these instruction sequences from the instruction sequence concerned by going through the instructions in the sequence one by one. We believe that there do not exist execution mechanisms that can deal with sequences of instructions from an infinite set. Therefore, we restrict ourselves to finite instruction sets.

Let $\mathcal{I}$ be a finite set, let $\mathcal{P}$ be a set of non-empty finite or periodic infinite sequences over $\mathcal{I}$, and let $|\cdot|$ be a function that assigns a finite-state thread to each member of $\mathcal{P}$. Assume that $\text{pgs} \in \mathcal{F}$, that $\text{hdeq} : u \in \mathcal{M}$ for all $u \in \mathcal{I}$, that $\text{drop} \in \mathcal{M}$, and that basic actions of the form $\text{pgs}.m$ do not occur in $|P|$ for all $P \in \mathcal{P}$. Moreover, for each $P \in \mathcal{P}$, let $PGS_P$ be the service with initial state $P$ described by $S = \mathcal{P} \cup \{\epsilon\} \cup \{\dagger\}$, where $\dagger \notin \mathcal{P} \cup \{\epsilon\}$, and the functions $\text{eff}$ and $\text{yld}$ defined as follows ($u, u' \in \mathcal{I}$, $P \in \mathcal{P}$, $Q \in \mathcal{P} \cup \{\epsilon\}$):

$$
\begin{align*}
\text{eff}(\text{hdeq}; u, Q) &= Q, & \text{yld}(\text{hdeq}; u, \epsilon) &= F, \\
\text{eff}(\text{hdeq}; u) &= T, & \text{yld}(\text{hdeq}; u, \epsilon) &= F, \\
\text{eff}(\text{hdeq}; u, P) &= T, & \text{yld}(\text{hdeq}; u, u') &= F, \quad \text{if } u \neq u', \\
\text{eff}(\text{hdeq}; u', P) &= F, & \text{yld}(\text{hdeq}; u', u') &= F, \quad \text{if } u \neq u', \\
\text{eff}(\text{drop}, \epsilon) &= \epsilon, & \text{yld}(\text{drop}, \epsilon) &= F, \\
\text{eff}(\text{drop}, u) &= \epsilon, & \text{yld}(\text{drop}, u) &= T, \\
\text{eff}(\text{drop}, u; P) &= P, & \text{yld}(\text{drop}, u; P) &= T, \\
\text{eff}(m, \dagger) &= \dagger, & \text{yld}(m, \dagger) &= B.
\end{align*}
$$

Then an execution mechanism for $|\cdot|$ is a thread $p$ such that $\tau_{\text{tau}}(p /_{\text{pgs}} PGS_P) = |P|$ for all $P \in \mathcal{P}$. An execution mechanism is called a finite-state execution mechanism if it is a finite-state thread.

In order to execute an instruction sequence $P$, an execution mechanism makes use of the service $PGS_P$ to go through the instructions in that sequence one by one. The methods accepted by this service can be explained as follows:

\[5\] We write $\epsilon$ for the empty sequence.
if there is an instruction sequence left and its first instruction is $u$, then nothing changes and the reply is $T$; otherwise, nothing changes and the reply is $F$.

- **drop**: if there is an instruction sequence left, then its first instruction is dropped and the reply is $T$; otherwise, nothing changes and the reply is $F$.

Notice that the service does not have to hold an infinite object: there exists an adequate finite representation for each finite or periodic infinite sequence of instructions.

It is easy to see that there exists a finite-state execution mechanism for the thread extraction operation $\cdots$ for PGA$_{0}^{0}$ programs. From this and Corollary 2, it follows immediately that there exists a finite-state execution mechanism that by making use of a counter can produce each finite-state thread from some program that is a finite or periodic infinite sequence of instructions from a finite set.

We also have that there does not exist a finite-state execution mechanism that by itself can produce each finite-state thread from a program that is a finite or periodic infinite sequence of instructions from a finite set.

**Theorem 3.** Let $I$ be a finite set, let $P$ be a set of non-empty finite or periodic infinite sequences over $I$, and let $|\_|$ be a function that assigns a finite-state thread to each member of $P$. Assume that, for each finite-state thread $p$, there exists a $P \in P$ such that $|P| = p$. Then there does not exist a finite-state execution mechanism for $|\_|$.

**Proof.** Suppose that there exists a finite-state execution mechanism, say $p_{\text{exec}}$. Let $n$ be the number of states of $p_{\text{exec}}$. Consider the thread $T_0$ defined by the guarded recursive specification consisting of the following equations:

\[
\begin{align*}
T_i &= T_{i+1} \leq a \geq T_{i+1,0} \quad \text{for } i \in [0, n], \\
T_{n+1} &= S, \\
T'_{i+1,i'} &= b \circ T'_{i+1,0}^{i}, \\
T'_{i+1,i'+1} &= c \circ T'_{i+1,0}.
\end{align*}
\]

Let $P$ be a member of $P$ from which $p_{\text{exec}}$ can produce $T_0$. Notice that $T_0$ performs $a$ at least once and at most $n + 1$ times after each other. Suppose that $T_0$ has performed $a$ for the $j$th time when the reply $F$ is returned, while at that stage $p_{\text{exec}}$ has gone through the first $k_j$ instructions of $P$. Moreover, write $P_j$ for what is left of $P$ after its first $k_j$ instructions have been dropped. Then $p_{\text{exec}}$ still has to produce $T_j$, from $P_j$. For each $j \in [1, n + 1]$, a $k_j$ as above can be found. Let $j_0$ be the unique $j \in [1, n + 1]$ such that $k_j \leq k_j$ for all $j' \in [1, n + 1]$. Regardless the number of times $T_0$ has performed $a$ when the reply $F$ is returned, $p_{\text{exec}}$ must eventually have dropped the first $k_{j_0}$ instructions of $P$. For each of the $n + 1$ possible values of $j$, $p_{\text{exec}}$ must be in a different state when $P_{j_0}$ is left, because the thread that $p_{\text{exec}}$ still has to produce is different. However, this is impossible with $n$ states.

\[\square\]
In the light of Theorem 3, Corollary 2 can be considered a positive result: a finite-state execution mechanism that makes use of a counter is sufficient. However, this result is reached at the expense of an extremely inefficient way of representing jumps. We do not see how to improve on the linear representation of jumps. With a logarithmic representation, for instance, we expect that a counter will not do.

Theorem 3 is actually a generalization of Theorem 4 from [8] adapted to the current setting.

The hierarchy of program notations rooted in program algebra introduced in [2] includes a program notation, called PGLS, that supports structured programming by offering a rendering of conditional and loop constructs instead of (unstructured) jump instructions. Like PGA\textsuperscript{0}js, PGLS has a finite set of primitive instructions. Like for PGA\textsuperscript{0}js programs, there exists a finite-state execution mechanism that by making use of a counter can produce the behaviour of each PGLS program. However, PGLS programs offer less expressive power than PGA programs (see Section 9 of [2]). Therefore, PGLS is unsuited to show that there exists a finite-state execution mechanism that by making use of a counter can produce each finite-state thread from some program that is a finite or periodic infinite sequence of instructions from a finite set.

6 Conclusions

We have studied sequential programs that are instruction sequences with jump-shift instructions. We have defined the meaning of the programs concerned in two different ways which both involve the extraction of threads. One way covers only programs with jump-shift instructions that contain no other jump instruction than the one whose effect in the absence of preceding jump-shift instructions is a jump to the position of the instruction itself. We have among other things shown that the extraction of threads involved in that way corresponds to a finite-state execution mechanism that by making use of a counter can produce each finite-state thread from some program that is a finite or periodic infinite sequence of instructions from a finite set.

In the course of this work, we got convinced that a general format for the defining equations of thread extraction operations can be devised that yields thread extraction operations corresponding to execution mechanisms that can produce each finite-state thread from some program. One of the options for future work is to investigate this matter.

References


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